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Date of Deposit, March 15, 2005



Our Case No. 4672/367

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Neal Brady, et al.)
)
Serial No. 10/685,907) Examiner Jason M. Borlinghaus
)
Filing Date: October 15, 2003) Group Art Unit No. 3628
)
For NETWORK AND METHOD FOR)
TRADING DERIVATIVES BY)
PROVIDING ENHANCED RFQ)
VISIBILITY)
)
)

SUBSTITUTE OATH/DECLARATION

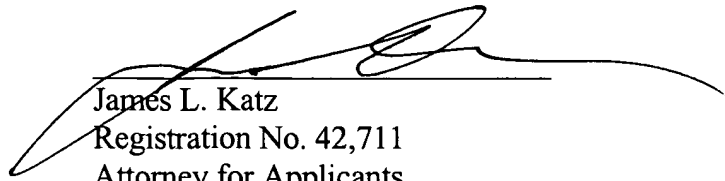
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Substitute oath/declarations are enclosed in compliance with 37 C.F.R. § 1.67(a) and
37 C.F.R. § 1.52(c).

Respectfully submitted,

Dated: March 15, 2005



James L. Katz
Registration No. 42,711
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200



**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR UTILITY OR DESIGN PATENT APPLICATION
(37 C.F.R. §1.63)**

As a below named inventor, I hereby declare:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

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the specification of which (check one)

☐ is attached hereto.

☒ was filed on October 15, 2003 as United States Application Application No. 10/685,907 and was amended on _____ (if applicable).

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(Number) (Country) (Filing Date, MM/DD/YYYY)

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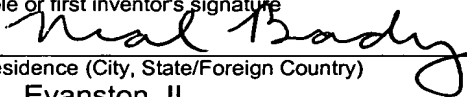
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Sole or first inventor's signature 	Date 1/4/05
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Citizenship US	
Mailing Address 1220 Hinman Ave., Evanston, IL 60202	

Full name of second inventor, if any Noah Carey	
Second inventor's signature	Date
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Mailing Address 2109 Central Park, Evanston, IL 60202	

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Third inventor's signature	Date
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Mailing Address 2931 Sombrosa Street, Carlsbad, CA 92009	

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Fourth inventor's signature	Date
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Citizenship US	
Mailing Address 8 Longwood Dr., Burr Ridge, IL 60521	

Full name of fifth inventor, if any Michael Quattrochi	
Fifth inventor's signature	Date
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Citizenship US	
Mailing Address 3228 N. Kenmore Ave., Apt. 3, Chicago, IL 60657	

Full name of sixth inventor, if any Frank Stone	
Sixth inventor's signature	Date
Residence (City, State/Foreign Country) Chicago, IL	
Citizenship US	
Mailing Address 824 W. Oakdale Ave., Chicago, IL 60657	

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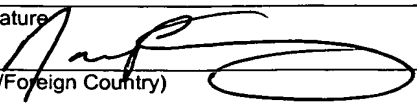
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Second inventor's signature 	Date 12-31-04
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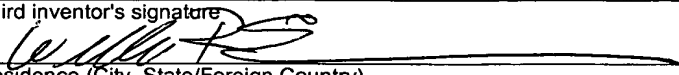
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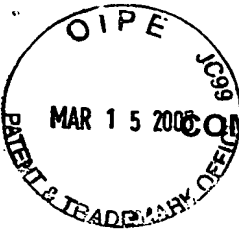
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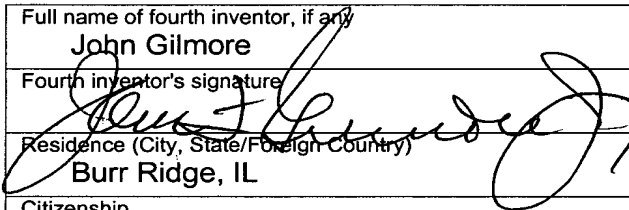
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I HEREBY APPOINT the Practitioners associated with the following Customer Number as my attorneys, with full power of substitution and revocation, to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent(s), to transact all business in the United States Patent and Trademark Office connected therewith, and to act on my behalf before the competent International Authorities in connection with any and all international applications filed by me.

Customer No. 49664-Chicago Mercantile Exchange

PLEASE RECOGNIZE or change the CORRESPONDENCE ADDRESS for this application to the address associated with the above-mentioned Customer Number.

PLEASE DIRECT all telephonic and facsimile communications to:

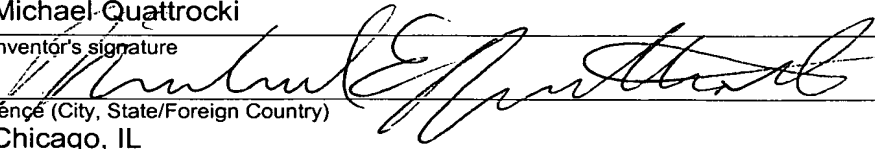
James L. Katz
 Telephone: (312) 321-4200
 Facsimile: (312) 321-4299

Full name of sole or first inventor Neal Brady	
Sole or first inventor's signature	Date
Residence (City, State/Foreign Country) Evanston, IL	
Citizenship US	
Mailing Address 1220 Hinman Ave., Evanston, IL 60202	

Full name of second inventor, if any Noah Carey	
Second inventor's signature	Date
Residence (City, State/Foreign Country) Evanston, IL	
Citizenship US	
Mailing Address 2109 Central Park, Evanston, IL 60202	

Full name of third inventor, if any William R. Erwin	
Third inventor's signature	Date
Residence (City, State/Foreign Country) Carlsbad, CA	
Citizenship US	
Mailing Address 2931 Sombrosa Street, Carlsbad, CA 92009	

Full name of fourth inventor, if any John Gilmore	
Fourth inventor's signature	Date
Residence (City, State/Foreign Country) Burr Ridge, IL	
Citizenship US	
Mailing Address 8 Longwood Dr., Burr Ridge, IL 60521	

Full name of fifth inventor, if any Michael Quattrochi	
Fifth inventor's signature 	Date 01/03/05
Residence (City, State/Foreign Country) Chicago, IL	
Citizenship US	
Mailing Address 3228 N. Kenmore Ave., Apt. 3, Chicago, IL 60657	

Full name of sixth inventor, if any Frank Stone	
Sixth inventor's signature	Date
Residence (City, State/Foreign Country) Chicago, IL	
Citizenship US	
Mailing Address 824 W. Oakdale Ave., Chicago, IL 60657	

Full name of seventh inventor, if any Mark Thornburgh	
Sixth inventor's signature	Date
Residence (City, State/Foreign Country) Seattle, WA	
Citizenship US	
Mailing Address 6815 35 th Ave NW, Seattle, WA 98117	



**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR UTILITY OR DESIGN PATENT APPLICATION
(37 C.F.R. §1.63)**

As a below named inventor, I hereby declare:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

NETWORK AND METHOD FOR TRADING DERIVATIVES BY PROVIDING ENHANCED RFQ VISIBILITY

the specification of which (check one)

☐ is attached hereto.

☒ was filed on October 15, 2003 as United States Application Application No. 10/685,907 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge my duty to disclose to the United States Patent and Trademark Office all information that I know to be material to the patentability of this application as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s) or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Priority
Not Claimed

(Number) (Country) (Filing Date, MM/DD/YYYY)

☐

(Number) (Country) (Filing Date, MM/DD/YYYY)

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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/418,982 10/15/2002 pending
(Application Serial No.) (Filing Date, MM/DD/YYYY) (Status: pending, or abandoned)

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 Telephone: (312) 321-4200
 Facsimile: (312) 321-4299

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Sole or first inventor's signature	Date
Residence (City, State/Foreign Country) Evanston, IL	
Citizenship US	
Mailing Address 1220 Hinman Ave., Evanston, IL 60202	

Full name of second inventor, if any Noah Carey	
Second inventor's signature	Date
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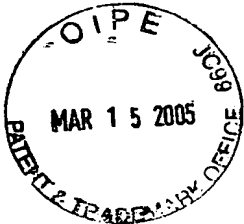
Full name of fourth inventor, if any John Gilmore	
Fourth inventor's signature	Date
Residence (City, State/Foreign Country) Burr Ridge, IL	
Citizenship US	
Mailing Address 8 Longwood Dr., Burr Ridge, IL 60521	

Full name of fifth inventor, if any Michael Quattrochi	
Fifth inventor's signature	Date
Residence (City, State/Foreign Country) Chicago, IL	
Citizenship US	
Mailing Address 3228 N. Kenmore Ave., Apt. 3, Chicago, IL 60657	

Full name of sixth inventor, if any Frank Stone	
Sixth inventor's signature <i>Frank R Stone</i>	Date <i>1/12/05</i>
Residence (City, State/Foreign Country) Chicago, IL	
Citizenship US	
Mailing Address 1249 W. Melrose #3W, Chicago, IL 60657	

*1249 W. Melrose #3W
FRS 1/10/05*

Full name of seventh inventor, if any Mark Thornburgh	
Sixth inventor's signature	Date
Residence (City, State/Foreign Country) Seattle, WA	
Citizenship US	
Mailing Address 6815 35th Ave NW, Seattle, WA 98117	



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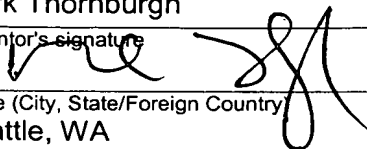
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Citizenship US	
Mailing Address 824 W. Oakdale Ave., Chicago, IL 60657	

Full name of seventh inventor, if any Mark Thornburgh	
Sixth inventor's signature 	Date 12/31/04
Residence (City, State/Foreign Country) Seattle, WA	
Citizenship US	
Mailing Address 6815 35 th Ave NW, Seattle, WA 98117	